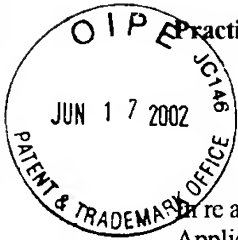


6-18-02

G/1714



Practitioner's Docket No. 1576.98

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re application of: MATSUMOTO et al., Hitoshi

Application No.: 10/070,321

Group No.: 1714

Filed: 03/01/2002

Examiner: Unknown

For: ALKENYLPHENOL COPOLYMER AND PROCESS FOR PRODUCING THE SAME

Assistant Commissioner for Patents  
Washington, D.C. 20231

EXPRESS MAIL CERTIFICATE

"Express Mail" label number EV 032344435 US  
Date of Deposit 06/17/2002

I hereby state that the following *attached* paper or fee

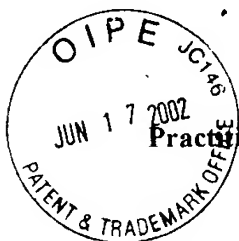
- Transmittal of IDS Before Mailing of First Office Action
- Information Disclosure Statement
- Form PTO/SB/08A with copies of listed information
- Express Mail Certificate
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is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. section 1.10, on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Lia H. Costello, Legal Asst.

  
Signature of person mailing paper or fee

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TC 1700



Practitioner's Docket No. 1576.98

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: MATSUMOTO et al., Hitoshi

Application No.: 10/070,321

Group No.: 1714

Filed: March 1, 2002

Examiner: Unknown

For: ALKENYLPHENOL COPOLYMER AND PROCESS FOR PRODUCING THE SAME

Assistant Commissioner for Patents  
Washington, D.C. 20231

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT  
WITHIN THREE MONTHS OF FILING OR  
BEFORE MAILING OF FIRST OFFICE ACTION (37 C.F.R. § 1.97(b))

IDENTIFICATION OF TIME OF FILING THE ACCOMPANYING  
INFORMATION DISCLOSURE STATEMENT

The information disclosure statement submitted herewith is being filed within three months of the filing date of the application or date of entry into the national stage of an international application or before the mailing date of a first Office action on the merits, whichever event occurs last. 37 C.F.R. § 1.97(b).

Date: 6/17/02

Reg. No.: 40,693  
Tel. No.: 727-538-3800  
Customer No.: 24040

Dennis G. LaPointe  
Signature of Practitioner  
Dennis G. LaPointe  
Mason & Associates, P.A.  
17757 US Hwy 19 North  
Suite 500  
Clearwater, FL 33764

CERTIFICATION UNDER 37 C.F.R. §§ 1.8(a) and 1.10\*  
(When using Express Mail, the Express Mail label number is mandatory;  
Express Mail certification is optional.)

I hereby certify that, on the date shown below, this correspondence is being:

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\_\_\_ deposited with the United States Postal Service in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231  
37 C.F.R. § 1.8(a) 37 C.F.R. § 1.10\*  
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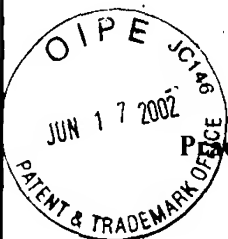
TRANSMISSION

\_\_\_ facsimile transmitted to the Patent and Trademark Office, (703) \_\_\_\_\_

Lia H. Costello  
Lia H. Costello, Legal Asst.

Date: June 17, 2002

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Patitioner's Docket No. 1576.98

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: MATSUMOTO et a., Hitoshi

Application No.: 10/070,321

Group No.: 1714

Filed: March 1, 2002

Examiner: Unknown

For: ALKENYLPHENOL COPOLYMER AND PROCESS FOR PRODUCING THE SAME

Assistant Commissioner for Patents  
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

List of Sections Forming Part of This Information Disclosure Statement

The following sections are being submitted for this Information Disclosure Statement:

1. Preliminary Statements
2. Forms PTO/SB/08A and 08B (formerly Form PTO-1449)
3. Copies of Listed Information Items Accompanying This Statement
4. Identification of Person(s) Making This Information Disclosure Statement

**CERTIFICATION UNDER 37 C.F.R. ' ' 1.8(a) and 1.10\***  
(When using Express Mail, the Express Mail label number is *mandatory*;  
*Express Mail certification is optional.*)

I hereby certify that, on the date shown below, this correspondence is being:

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37 C.F.R. § 1.10\*  
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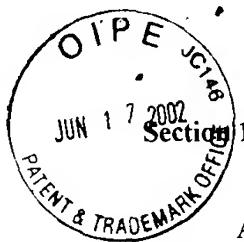
G facsimile transmitted to the Patent and Trademark Office, (703) \_\_\_\_\_

*Lia H. Costello*  
Lia H. Costello, Legal Asst.

Date: June 17, 2002

\* Only the date of filing (' 1.6) will be the date used in a patent term adjustment calculation, although the date on any certificate of mailing or transmission under ' 1.8 continues to be taken into account in determining timeliness. See ' 1.703(f). Consider "Express Mail Post Office to Addressee" (' 1.10) or facsimile transmission (' 1.6(d)) for the reply to be accorded the earliest possible filing date for patent term adjustment calculations.

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**Section 1.**

**Preliminary statements**

Applicants submit herewith patents, publications or other information, of which they are aware that they believe may be material to the examination of this application, and in respect of which, there may be a duty to disclose.

The filing of this information disclosure statement shall not be construed as a representation that a search has been made (37 C.F.R. section 1.97(g)), an admission that the information cited is, or is considered to be, material to patentability, or that no other material information exists.

The filing of this information disclosure statement shall not be construed as an admission against interest in any manner. Notice of January 9, 1992, 1135 O.G. 13-25, at 25.

**Section 2. Forms PTO/SB/08A and 08B (substitute for Form PTO-1449)**

(Insert form(s) PTO/SB/08A [Form 6-2] and/or form(s) PTO/SB/08B [Form 6-2.1].)

**Section 3. Copies of Listed Information Items Accompanying This Statement**

Legible copies of all items listed in Forms PTO/SB/08A and 08B (substitute for Form PTO-1449) accompany this information statement.

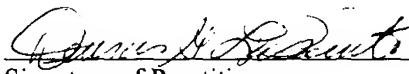
**Section 4. Identification of Person Making This Information Disclosure Statement**

The person making this certification is:

- a. the practitioner who signs below on the basis of the information supplied by the inventor(s), supplied by an individual associated with the filing and prosecution of this application (37 C.F.R. section 1.56(c)), and in the practitioner's file.

Date: 6/17/02

Reg. No.: 40,693  
Tel. No.: 727-538-3800  
Customer No.: 24040

  
Signature of Practitioner  
Dennis G. LaPointe  
Mason & Associates, P.A.  
17757 US Hwy 19 North  
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Clearwater, FL 33764

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